



PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature  
and Deposit:

8/26/2005

Steven J. Wietrzny, Reg. No. 44,402

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Allan J. Wildey

Application No.: 10/687,323

Filing Date: October 16, 2003

Title: Apparatus and Method for Severing a Tree and Reducing the Tree Stump

Examiner: Shelley M. Self

Group Art Unit: 3725

Atty Docket No.: 900260.90196

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of each identified non-U.S. patent document.
- ☐ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 37 CFR 1.97(b) [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☒ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- ☐ The required certification made under the heading "Certification" below;  
or

08/31/2005 GWORDOF1 00000011 170055 10687323

02 FC:1806 180.00 DA

5781158

- ☒ The \$ 180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

☐ 37 CFR §1.97(d) [before issue fee payment]; and

- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$ \_\_\_\_\_ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- b) The required Certification is stated under the heading "Certification" below.

☐ Certification

- ☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

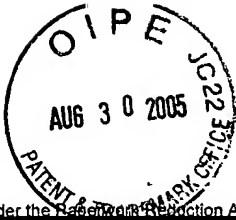
☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055.

Respectfully submitted,

By: \_\_\_\_\_

Steven J. Wietrzny  
Attorney for Applicant  
Reg No. 44,402  
Quarles & Brady LLP  
411 East Wisconsin Avenue  
Milwaukee, WI 53202



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/687,323
Filing Date	October 16, 2003
First Named Inventor	Allan J. Wildey
Art Unit	3725
Examiner Name	Shelley M. Self
Attorney Docket Number	900260.90196

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,783,914	01-08-1974	Daugherty et al.	
		US- 4,271,879	06-09-1981	Shivers, Jr. et al.	
		US- 4,441,534	04-10-1984	Wilson	
		US- 4,637,442	01-20-1987	Mozer	
		US- 4,697,625	10-06-1987	Bolton	
		US- 4,757,848	07-19-1988	Mollberg, Jr.	
		US- 5,010,931	04-30-1991	Hooser	
		US- 5,048,579	09-17-1991	Lloyd	
		US- 5,381,840	01-17-1995	Bowen	
		US- 5,419,380	05-30-1995	Bot	
		US- 5,499,771	03-19-1996	Esposito et al.	
		US- 5,641,129	06-24-1997	Esposito et al.	
		US- 5,743,315	04-28-1998	Esposito et al.	
		US- 5,857,505	01-12-1999	Zagar	
		US- 5,921,302	07-13-1999	Petersen	
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

5781086

Substitute for form 1449B/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/687.323
--------------------	------------

<i>Filing Date</i>	October 16, 2003
--------------------	------------------

First Named Inventor	Allan J. Wildev
----------------------	-----------------

Art Unit	3725
----------	------

Examiner Name	Shelley M. Self
---------------	-----------------

Attorney Docket Number	900260.90196
------------------------	--------------

Sheet	2	of	2
-------	---	----	---

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		QUADCO; photograph of brush cutter displayed at the Oregon Logging Conference Show in Portland, Oregon; 02-2002.	

Examiner  
Signature

Date Considered

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***